



# Software Reliability Modeling: Heterogeneous Fault Detection Processes

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# Outline

- Motivation
- Background
- Contribution
- NHPP SRGM with single changepoint
  - Homogeneous and heterogeneous changepoint models
  - Illustrations
- Conclusions and future work



# Motivation

- Software reliability growth models (SRGM)
  - Well-established methodology
  - Many based on non-homogeneous Poisson process (NHPP)
    - Expected number of faults if debugging performed indefinitely
    - Failure intensity
    - Mean time to failure (MTTF)
    - Reliability
- SRGMs assume
  - Fault detection rate dependent on software testing time



# Background

- During software testing
  - Failure detection affected by many factors
    - Change in testing environment
    - Testing strategy
    - Integration testing
    - Resource allocation
- Impact of factors on the fault detection process – Changepoints
  - Failing to model changepoints may adversely affect system assessment
- Several NHPP SRGM consider changepoint since 1992
  - Consider only homogeneous combinations of failure distribution before and after changepoints



# Contribution

- Heterogeneous single changepoint models
  - Applies ECM algorithm to maximize log-likelihood
- Compared with existing homogeneous models
  - Demonstrate heterogeneous changepoint models characterize some data sets better than homogeneous models



# NHPP SRGM

- Stochastic process
  - Counts number of events observed as function of time
  - In software reliability,
    - counts number of faults detected by time  $t$
- Counting process characterized by mean value function (MVF)
  - **Form of MVF of several SRGM:**
$$m(t) = a \times F(t)$$
    - $a$  – expected number of faults detected with indefinite testing
    - $F(t)$  - cumulative distribution function (CDF)



# NHPP SRGM

- Substituting exponential distribution for  $F(t)$

$$m(t) = a(1 - e^{-bt})$$

- $b$  - fault detection rate
- Also known as Goel-Okumoto (GO) SRGM
- Substituting delayed S-shaped (DSS) distribution for  $F(t)$

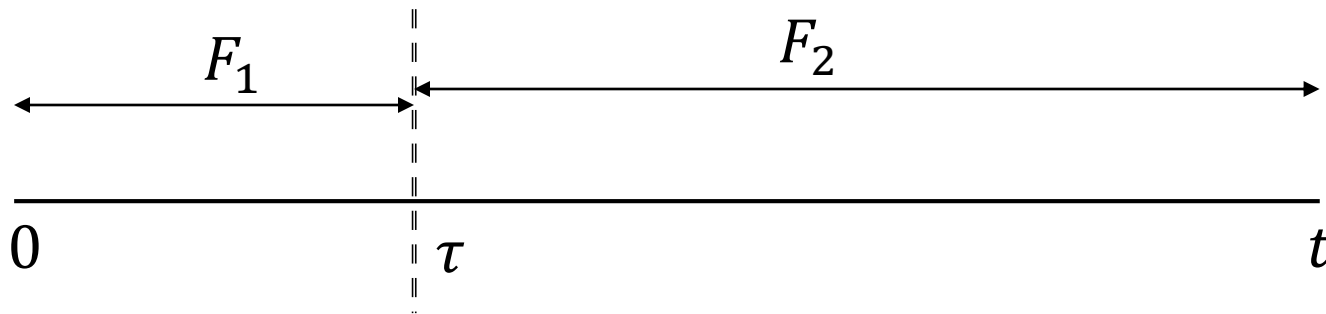
$$m(t) = a(1 - (1 + bt)e^{-bt})$$

- $bte^{-bt}$  – can characterize delay between time of failure observation and reporting or fault masking



# NHPP SRGM with single Changepoint

- $\mathbf{T} = \langle t_1, t_2, \dots, t_n \rangle$  - vector of failure time data with single changepoint
- $F_1(t)$  and  $F_2(t)$  - failure distributions before and after  $\tau$



- **MVF of NHPP SRGM with single changepoint**

$$m(t) = \begin{cases} a \times F_1(t), & 0 \leq t \leq \tau \\ a(F_1(\tau) + F_2(t - \tau)), & t > \tau \end{cases}$$





# NHPP SRGM w/single Changepoint (2)

- NHPP SRGM with single changepoint can be
  - **Homogeneous**
    - If  $F_1(t)$  and  $F_2(t)$  follow similar distribution
  - **Heterogeneous**
    - If  $F_1(t)$  and  $F_2(t)$  follow different distribution
- Changepoint  $\tau$  identified by maximizing likelihood for each value  $\tau \in (2, (n - 1))$



# Homogenous changepoint models

## 1. GO-GO SRGM

- If  $F_1(t)$  and  $F_2(t)$  follow **exponential** distribution

$$m(t) = \begin{cases} a(1 - e^{-bt}), & 0 \leq t \leq \tau \\ a \left( (1 - e^{-b\tau}) + (1 - e^{-b(t-\tau)}) \right), & t > \tau \end{cases}$$

## 2. DSS-DSS SRGM

- If  $F_1(t)$  and  $F_2(t)$  follow **S-shaped** distribution

$$m(t) = \begin{cases} a(1 - (1 + bt)e^{-bt}), & 0 \leq t \leq \tau \\ a \left( (1 - (1 + b\tau)e^{-b\tau}) + (1 + b(t - \tau))e^{-b(t-\tau)} \right), & t > \tau \end{cases}$$



# Heterogenous changepoint models (2)

## 1. GO-DSS SRGM

- If  $F_1(t)$  and  $F_2(t)$  follows **exponential** and **S-shaped** distribution respectively

$$m(t) = \begin{cases} a(1 - e^{-bt}), & 0 \leq t \leq \tau \\ a \left( (1 - e^{-b\tau}) + \left( (1 + b(t - \tau))e^{-b(t-\tau)} \right) \right), & t > \tau \end{cases}$$

## 2. DSS-GO SRGM

- If  $F_1(t)$  and  $F_2(t)$  follows **S-shaped** and **exponential** distribution respectively

$$m(t) = \begin{cases} a(1 - (1 + bt)e^{-bt}), & 0 \leq t \leq \tau \\ a \left( (1 - (1 + b\tau)e^{-b\tau}) + (1 - e^{-b(t-\tau)}) \right) & t > \tau \end{cases}$$



# Parameter Estimation Method

- Maximum likelihood estimation (MLE) maximizes the likelihood function to identify numerical values of model parameters
- NHPP failure times data log-likelihood

$$LL(\Theta|\mathbf{T}) = -m(t_n) + \sum_{i=1}^n \log(\lambda(t_i))$$

- $\Theta$  – vector of model parameters
- $\lambda(t) := \frac{dm(t)}{dt}$  - instantaneous failure rate at time  $t$
- ECM algorithm applied to identify MLEs



# Initial parameter estimates selection

- Function of  $f(t_i; \Theta)$

$$a^{(0)} = N$$

and

$$\Theta^{(0)} := \sum_{i=1}^n \frac{\partial}{\partial \Theta} \log[f(t_i; \Theta)] = \mathbf{0}$$



# Initial parameter estimates selection (2)

- GO SRGM parameters

$$a^{(0)} = n, \quad b^{(0)} = \frac{n}{\sum_{i=1}^n t_i}$$

- Similarly, for DSS SRGM

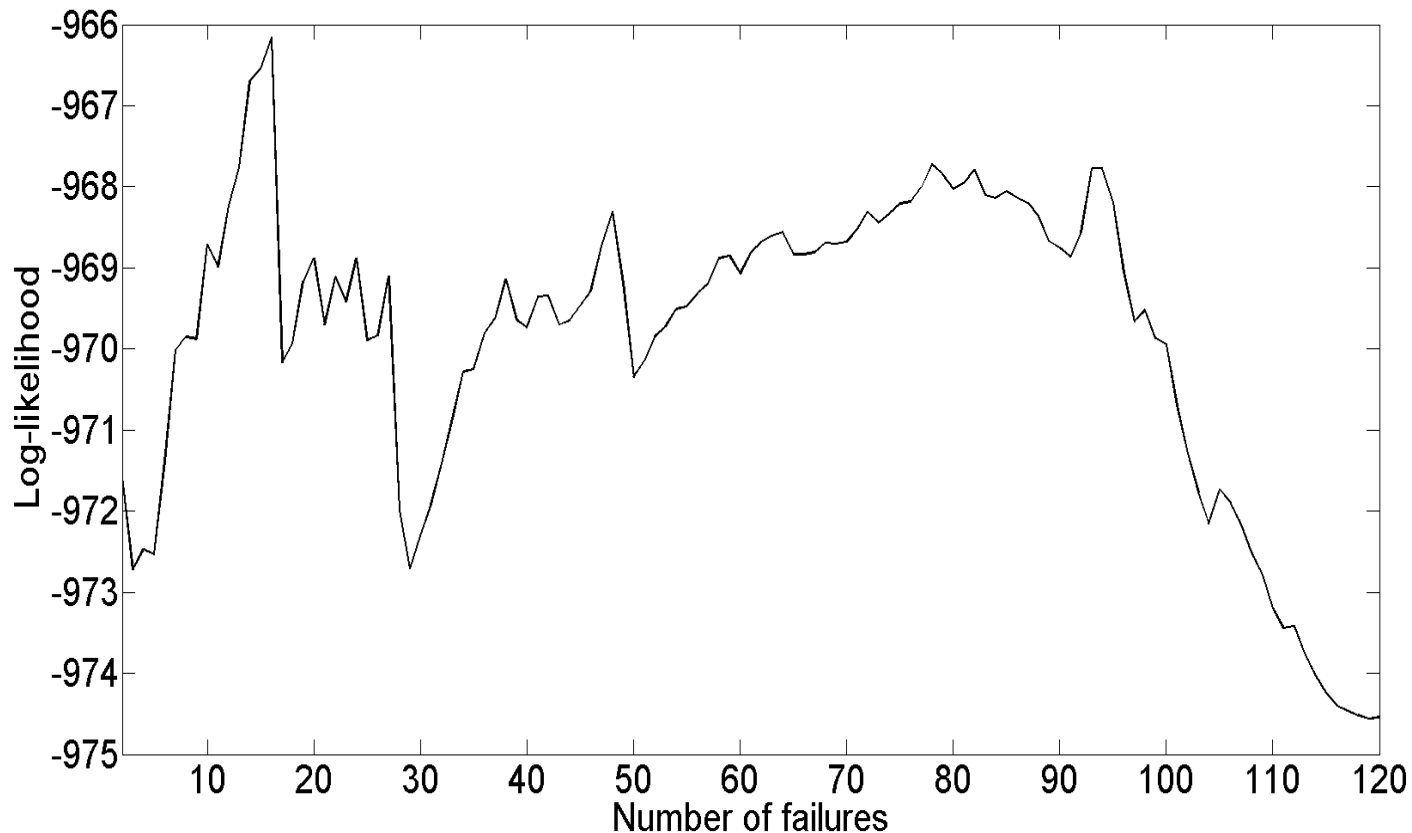
$$b^{(0)} = \frac{2n}{\sum_{i=1}^n t_i}$$



# Illustrations



# GO-GO SRGM applied to SYS1 dataset

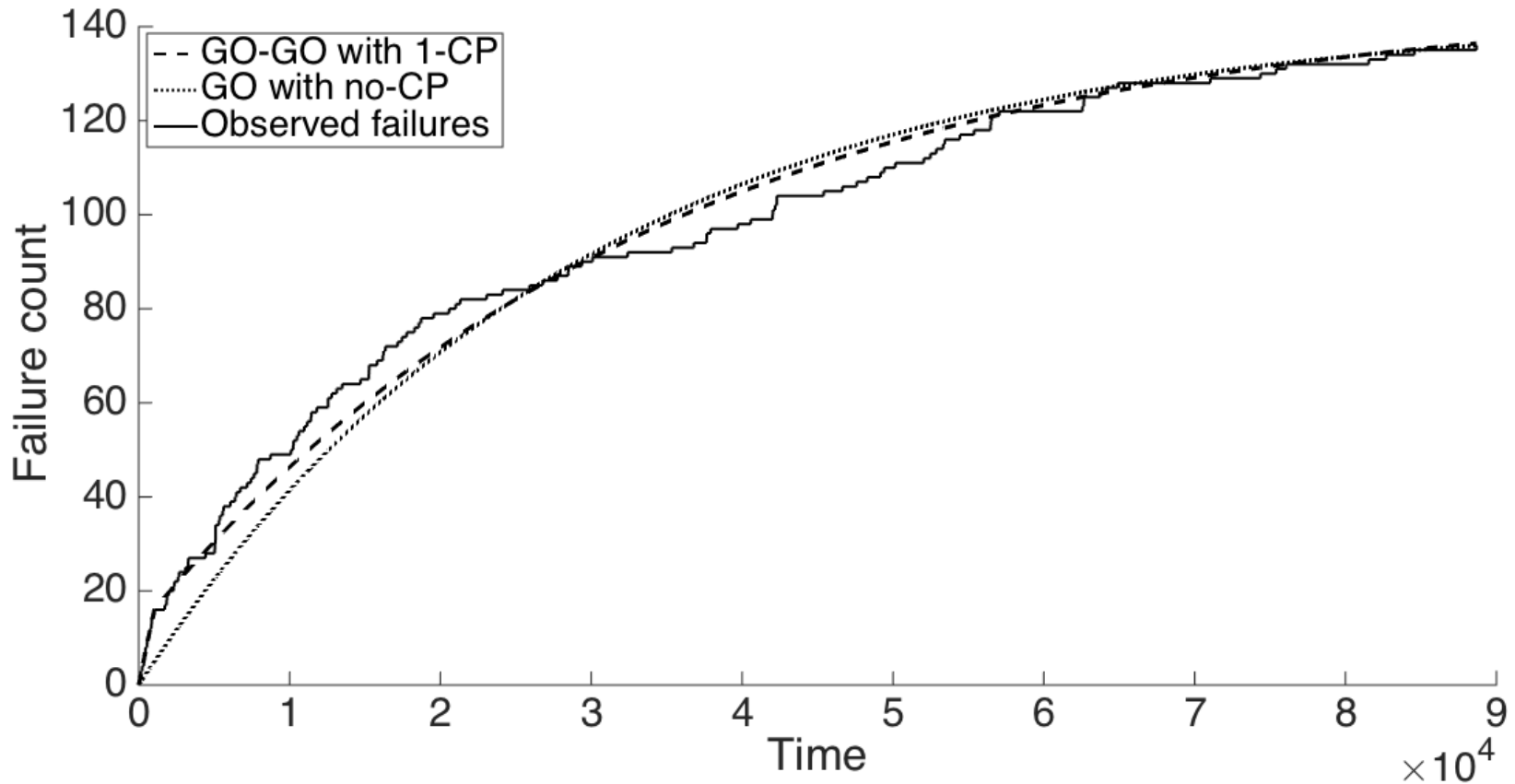


Changepoint at  $\tau = 16$ , ( $t_{\tau=16} = 1,056$ ) maximizes likelihood





# GO SRGM with and without CP



GO with changepoint fits data better



# GO SRGM with and without CP (2)

Datasets	0-CP GO AIC	1-CP GO AIC	Difference
SYS1	1953.61	1938.31	<b>15.3</b>
SYS2	1377.08	1377.01	0.07
SYS3	2190.91	2187.34	<b>3.57</b>
S2	902.187	894.113	<b>8.074</b>
S27	673.603	660.634	<b>12.969</b>
SS3	3468.19	3447.77	<b>20.42</b>
SS4	2576.69	2569.72	<b>6.97</b>
CSR1	4793.71	4690.27	<b>103.44</b>
CSR2	1848.57	1818.25	<b>30.32</b>
CSR3	1216.16	1193.56	<b>22.6</b>

GO with changepoint significantly better on 9 of 10 datasets



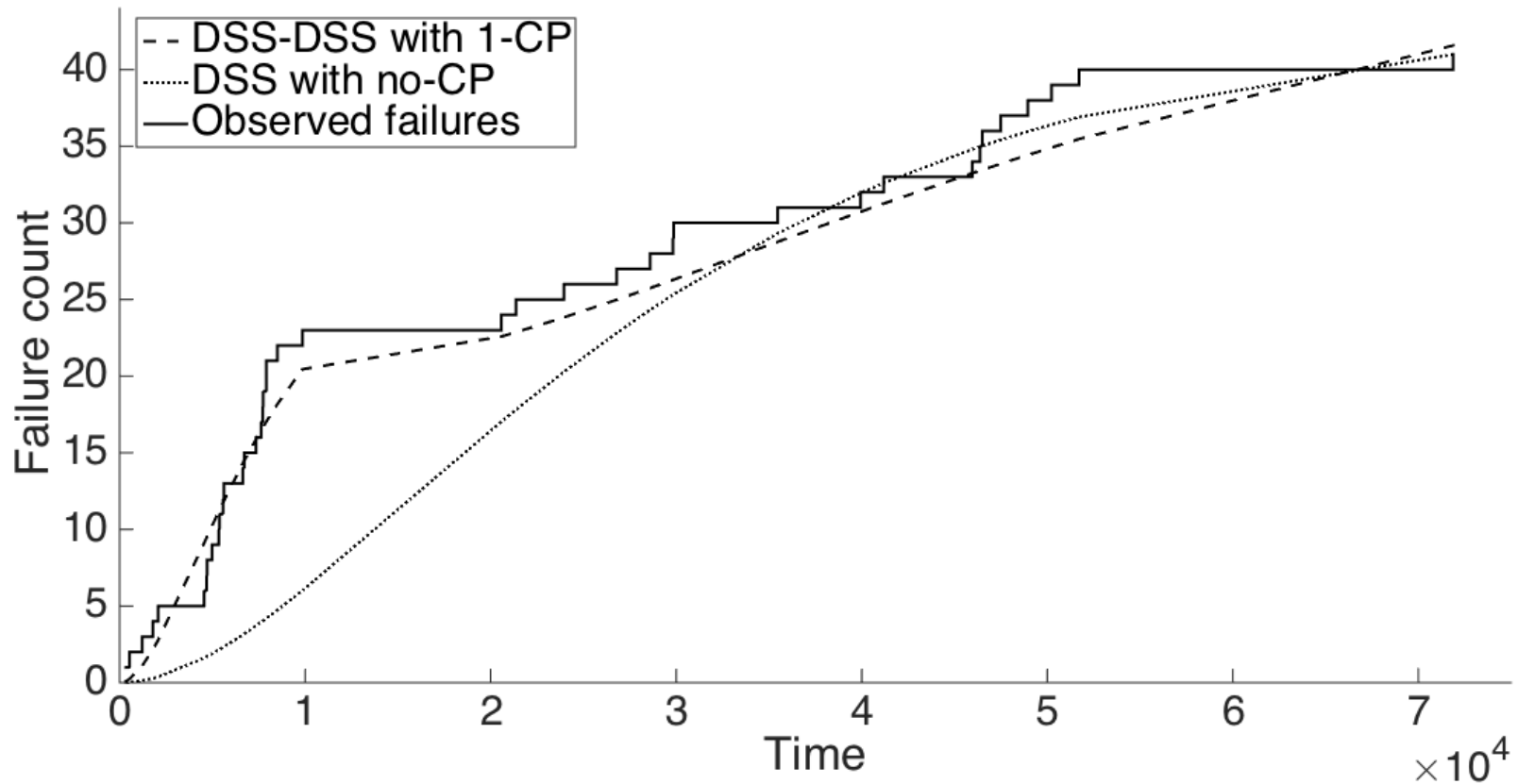
# DSS SRGM with and without CP

Datasets	0-CP DSS AIC	1-CP DSS AIC	Difference
SYS1	2075.15	1995.15	<b>80</b>
SYS2	1410.55	1387.59	<b>22.96</b>
SYS3	2260.81	2203.73	<b>57.08</b>
S2	962.427	902.025	<b>60.402</b>
S27	702.401	657.854	<b>44.547</b>
SS3	3561.85	3446.40	<b>115.45</b>
SS4	2599.31	2575.37	<b>23.94</b>
CSR1	5078.03	4713.92	<b>364.11</b>
CSR2	1913.69	1800.17	<b>113.52</b>
CSR3	1290.59	1211.28	<b>79.31</b>

**DSS with changepoint significantly better than model without**



# DSS SRGM with and without CP (2)



**DSS with changepoint fits S27 data better**



# Homogeneous vs. Heterogeneous models

Datasets	GO-GO	DSS-DSS	GO-DSS	DSS-GO
SYS1	<b>1938.31</b>	1995.15	1944.65	1950.96
SYS2	1377.01	1387.59	1377.43	<b>1376.3</b>
SYS3	2187.34	2203.73	2185.31	<b>2183.22</b>
S2	<b>894.11</b>	902.025	894.18	900.616
S27	660.63	<b>657.854</b>	660.35	659.217
SS3	3447.77	3446.40	<b>3440.58</b>	3444.45
SS4	2569.72	2575.37	<b>2567.81</b>	2574.15
CSR1	4690.27	4713.92	4743.98	<b>4678.29</b>
CSR2	1818.25	1800.17	1819.41	<b>1799.69</b>
CSR3	<b>1193.56</b>	1211.28	1200.85	1209.33

Heterogeneous models preferred in six out of ten data sets



# Summary, Conclusion, and Future work



# Summary and Conclusion

- Developed heterogeneous single changepoint model
- Assesses models with and without changepoint
  - Models with changepoint often characterizes data better
- Compared homogeneous vs. heterogeneous models
  - Heterogeneous models outperformed homogeneous models on 60% of datasets considered



# Future work

- Theoretical
  - Model selection procedure considering additional combinations of possible models
  - Performance optimization of algorithmic approach
- Empirical
  - Methods to assess the effectiveness of milestone decisions and testing between milestones
  - Combine with metrics-based models to identify effective activities





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